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PATENT & TRADEMARK OFFICE

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER
01386/LH

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
09/869774

INTERNATIONAL APPLICATION NO.
PCT/JP00/08520

INTERNATIONAL FILING DATE
PCT/JP00/08520

PRIORITY DATE CLAIMED
1 December 2000

TITLE OF INVENTION VIBRATION ACTUATOR HAVING AN ELASTIC MEMBER BETWEEN A SUSPENSION PLATE AND A MAGNETIC CIRCUIT DEVICE

APPLICANT(S) FOR DO/EO/US Nobuyasu SAKAI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
a. ☒ is attached hereto (required only if not communicated by the International Bureau).
b. ☐ has been communicated by the International Bureau.
c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
a. ☐ are attached hereto (required only if not communicated by the International Bureau).
b. ☐ have been communicated by the International Bureau.
c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ; PTO-1449;7 references.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
Int. Search Report; 11 sheets
formal drawings (Figs. 1A-16);
Change of Correspondence
Address form; Request for
Publication of Assignment
document; Forms PCT/IB/308;
PCT/IB/301; PCT/ISA/220.

Express Mail Mailing Label
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No.:
Date of Deposit: July 2, 2001
I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231
Barbara Villani
Barbara Villani

09869774-070201

page 1 of 2

U.S. APPLICATION NO. 09/869774		INTERNATIONAL APPLICATION NO. PCT/JP00/08520		ATTORNEY'S DOCKET NUMBER 01386/LH	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS PTO USE ONLY	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	27 - 20 =	7	X \$18.00	\$	126.00
Independent claims	1 - 3 =	0	X \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	986.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	986.00
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	986.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	40.00
TOTAL FEES ENCLOSED =				\$	1,026.00
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,026.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK 767 Third Avenue - 25th floor New York, N.Y. 10017-2023					
Dated: <u>July 2, 2001</u> LH: bv				SIGNATURE: _____ <div style="text-align: center;">Leonard Holtz</div> NAME <u>22,974</u> REGISTRATION NUMBER	

Attorney Docket No. 01386/LH

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**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

Date of Deposit: July 2, 2001

Applicant(s): N. SAKAI

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Serial No. : Based on
PCT/JP00/08520


Barbara Villani

Filed : Herewith

For : VIBRATION ACTUATOR
HAVING AN ELASTIC
MEMBER BETWEEN
A SUSPENSION...

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

Art Unit :
Examiner :

**REQUEST FOR PUBLICATION OF
ASSIGNMENT INFORMATION**


Asst. Commissioner for Patents
Washington, D.C. 20231

S I R :

It is requested that the following assignment information be published as part of the 18 month publication of the present application:

Tokin Corporation
7-1, Koriyama 6-chome,
Taihaku-ku, Sendai-shi,
Miyagi 982-8510, Japan

Respectfully submitted,


LEONARD HOLTZ
Reg. No. 22,974

Frishauf, Holtz, Goodman, Langer & Chick, P.C.
767 Third Avenue - 25th Floor
New York, New York 10017-2023
(212) 319-4900
Fax No. (212) 319-5101
LH/bv

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Please type a plus sign (+) inside this box → ☐

PTO/SB/122 (10-00)
Approved for use through 10/31/2002. OMB 0651-0035

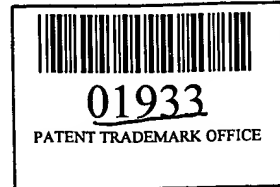
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	Filing Date	Herewith
	First Named Inventor	N. SAKAI
	Group Art Unit	
	Examiner Name	
	Attorney Docket Number	01386/LH

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I am the :

- ☐ Applicant/Inventor.
- ☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name

Leonard Holtz, Reg. No. 22,974

Signature

Date

July 2, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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